



Department of Planning and Development

March 22, 2012

Attention Builders and Remodelers:

The Department of Planning and Development would like to remind you that the 2012 Indiana Energy Code has been adopted and will go into effect for all applications permitted after April 5, 2012.

There are three methods of compliance to choose from. You will be required to choose which type of compliance method at the time of permit application:

- Prescriptive (most restrictive)
- Total UA. (allows building envelope trade-offs)
- Performance (most flexible-considers heating, cooling and water heating costs only)

The State of Indiana requires a design professional or builder to complete a Certificate of Compliance (see enclosed example). This must be attached to the front of the electrical panel and is to be furnished by the builder or third party agency.

Additionally, prior to the final inspection, builders are required to provide a 2012 Indiana Energy Code Certification with the permit placard. This certification includes building features, method used, name of organization, signature, and date (see enclosed example).

Please take a moment to review the following information regarding the adoptions of the Indiana Code. Keep in mind that portions of this code could be amended at the state level, so any changes to this code will take place at the time those amendments are adopted. To stay informed on any code changes, please visit [www.in.gov/dhs](http://www.in.gov/dhs). Also, as many of you know, there are multiple education opportunities with IBA, BAGI, or NAHB.

We understand this will be an adjustment for all of us. If you have any questions, please do not hesitate to contact our office at (317) 776-6325.

Respectfully,

Daniel Sheposh, CBO  
Building Administrator

# 2012 INDIANA ENERGY EFFICIENCY CODE

This code affects all homes permitted after April 5, 2012. The builder must choose the Prescriptive, Total UA or Performance Method of compliance. In addition to establishing compliance through one of those methods, the following **red** items **MUST** be completed for ALL paths and black items completed for Prescriptive and Total UA paths only. This information is based on interpretations provided by the State of Indiana as of 2-28-12 and this information is subject to change until Indiana supplies a written interpretation.

## **MAIN MANDATORY REQUIREMENTS** **\*\*NOT ALL INCLUSIVE\*\***

- \*All insulation materials must be marked with R-Value or installer must post a certificate listing all insulation values in conspicuous location on the job site— also, one thickness marker in attic for every 300 sf.
- \*The builder or design professional must complete a certificate that lists the predominant R-values of insulation for ceilings, walls, foundation, ductwork, U-factors for windows and efficiency levels of HVAC and water heating equipment. This certificate must be attached to the front of the electrical panel.
- \*Attic hatches from conditioned to unconditioned spaces must be weather stripped and insulated to a level equivalent to the surrounding area. A “dam” or equivalent must prevent attic insulation from spilling into living space.
- \*Air Leakage— The building thermal envelope shall be sealed to limit infiltration (see air sealing checklist). The checklist must be field verified, by an approved party OR a blower door test can be performed after construction and must demonstrate the air leakage rate is below 7 ACH@50pa.
- \*All ducts, air handlers and filter boxes must be sealed. The duct tightness must be verified with a duct blaster test. \*Not required if all ducts and air handler are located within the conditioned space.
- \*Supply ducts located in the attic must be insulated to R-8. All other ducts must be insulated to R-6 (Note: Supply ducts insulated to R-6 if using the Performance Path). Exception: Ducts within conditioned space.
- \*New wood burning MASONRY fireplaces must have gasketed doors and outdoor combustion air.
- \*All recessed lights must be IC-rated and the housings must be sealed with gasket or caulk to the drywall.
- \*At least one thermostat shall be installed that can be programmed. Heat pumps having supplementary electric-resistance heat shall have controls that, except during defrost, prevent supplemental heat operation when the heat pump compressor can meet the heating load.
- \*Building cavities may not be used as supply ducts. (Returns ok— for now.)
- \*Mechanical system piping capable of carrying fluids above 105 F or below 55 F shall be insulated to at least R-3. Also, all circulating hot water system piping shall be insulated to at least R-2 and shall include a switch that can turn off the hot water pump when the system is not in use.
- \*HVAC equipment must be sized as specified in section M140113 of this code.
- \*Snow Melt Controls— Snow and ice melting systems, supplied through energy service to the building, shall include automatic controls capable of shutting off the system when the pavement temperature is above 50 F and no precipitation is falling and an automatic or manual control that will allow shutoff when the outdoor temperature is above 40 F.
- \*Pools---Pool heaters shall be equipped with a readily accessible on-off switch to allow shutting off the heater without adjusting the thermostat setting.
- \*Pool heaters fired by natural gas shall not have continuously burning pilot lights. Time switches that can automatically turn off and on heaters and pumps according to a preset schedule shall be installed on swimming pool heaters and pumps.
- \*Heated pools shall be equipped with a vapor-retardant pool cover on or at the water surface. Pools heated to more than 90 F shall have a pool cover with a minimum insulation value of R-12.
- \*Lighting---A minimum of 50 percent of the lamps in permanently installed lighting fixtures shall be high efficiency lamps.

# HOME CERTIFIED TO MEET THE PROVISIONS OF THE 2012 INDIANA ENERGY CONSERVATION CODE

This home built at:

**123 MAPLE STREET, NOBLESVILLE, IN 46032**

By Sample

exceeds the minimum requirements for the 2012 Indiana Energy Conservation Code

April 5, 2012

## Building Features

Ceiling Flat:	_____	Duct Leakage to Outside:	_____
Vaulted Ceiling:	_____	Total Duct Leakage:	_____
Above Grade Walls:	_____	Infiltration:	_____
Foundation Walls:	_____	Window:	_____
Exposed Floor:	_____	Heating:	_____
Slab:	_____	Cooling:	_____
Duct:	_____	Water Heating:	_____

The organization below certifies that the proposed building design described herein is consistent with the building plans, specifications, and other calculation submitted with the permit application. The proposed building has been designed to meet the 2012 Indiana Energy Conservation Code requirements in compliance with Chapter 11 based on Climate Zone 5A and with all mandatory requirements.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Organization: \_\_\_\_\_

Date: \_\_\_\_\_





## 2012 INDIANA ENERGY CODE

CODE MEASURE	PRESCRIPTIVE PATH	TOTAL UA PATH	PERFORMANCE PATH
1101.3---Materials, systems and equipment shall be identified to allow determination of compliance	X	X	X
1101.4---Insulation identified with R-value marked on product	X	X	X
1101.4.1---Rulers, with R-value identified, every 300 sf in attic	X	X	X
1101.4.2---Install insulation so R-value mark is readily observable	X	X	X
1101.5---Fenestration products shall bear a label and certification (NFRCC100)	X	X	X
1101.6---R-value determined in accordance with the 16 CFR 460	X	X	X
1101.7---All materials, systems and equipment installed in accordance with manufacturers instruction. Also, exposed foundation insulation shall be protected.	X	X	X
1101.8---A permanent certificate must be posted on or in the electrical panel, listing the R-value of all insulation, fenestration u-factors, equipment efficiencies.	X	X	X
1102.1---Thermal envelope shall meet requirements of Table N1101.2	X		
1102.1.1---R-value computation method	X	X	
1102.1.2---U-factor alternative Table can be used	X	X	
1102.1.3---Total UA Alternative Compliance		X	
1102.2.1and 1102.2.2---Attic Insulation R-value allowances	X		
1102.2.3---Access hatches and doors weatherstripped and insulated	X	X	X
1102.2.4 and 1102.2.5---Mass Wall and Steel frame requirements	X	X	
1102.2.6---Floor insulation installed so permanent contact with subfloor decking	X	X	X
1102.2.7---Conditioned basement walls FULLY insulated top to bottom	X	X	
1102.2.8---Slab insulation according to Table N1102.1	X	X	
1102.2.9---Crawl Space Walls insulating floors vs. walls	X	X	
1102.2.10---Insulation not required on horizontal masonry support	X	X	X
1102.2.11---Sunroom insulation requirements	X	X	
1102.3---Fenestration requirements	X	X	
1102.4---Air Leakage Requirements (1102.4.1 - 1102.4.5) This includes blowerdoor testing (or air leakage checklist review), masonry fireplace requirements, Fenestration Air Leakage Section and IC Rated Can Lights.	X	X	X
1102.5---Fenestration Trade-offs	X	X	
1103.1.1---Programmable thermostat installed	X	X	
1103.1.2---Heat pump controls to prevent unnecessary supplemental heat operation	X	X	
1103.2.1---Supply ducts in attic R-8; all others R-6 outside conditioned space*	X	X	*



## 2012 INDIANA ENERGY CODE

1103.2.2---All ducts, air handlers, filter boxes shall be sealed and duct tightness must be tested with a duct blaster and may not exceed maximum amounts.	X	X	X
1103.2.3---Building cavities may not be used as supply ducts	X	X	
1103.3---Refrigerant Lines insulated to R-3	X	X	
1103.4---All circulating hot water piping shall be insulated to at least R-2	X	X	
1103.5---Mechanical ventilation intakes shall have gravity dampers	X	X	
1103.6---HVAC equipment must be sized according to M1401.3	X	X	
1103.7---Snow-melt system controls	X	X	
1103.8---Pool requirements	X	X	
1104---Lighting must be 50 percent high-efficacy lamps	X	X	

**\*Performance Path Requires R-6 on ALL ducts outside conditioned space**

This information is based on Indiana's latest interpretation as of 02-28-12 and all information is subject to change.



### Example 1 (1,611 sf home on slab):

	<u>Prescriptive Requirements:</u>	<u>Total UA Requirements:</u>	<u>Performance Requirements:</u>
AG Walls:	R-20 cavity or R-13 w/ R-5 cont	R-13 Batt with R-3 Sheathing	R-15 Batt with OSB Sheathing
Windows:	U-0.35	U-0.30	U-0.30
Attic:	R-38	R-38	R-38
HVAC:	N/A	N/A	80%, 13 SEER AC
WH:	N/A	N/A	0.62 EF
Slab:	R-10, total 2'	R-10, 1' down, 2' in	R-10, 1' down 2' in
Duct Lkg:	<8 cfm/100sf of cond space	<8 cfm/100sf of cond space	<4 cfm/100sf of cond space
Air Lkg:	<7 ACH50	<7 ACH50	<5.5 ACH50

### Example 2 (2,313 sf 2-story home on slab):

	<u>Prescriptive Requirements:</u>	<u>Total UA Requirements:</u>	<u>Performance Requirements:</u>
AG Walls:	R-20 cavity or R-13 w/ R-5 cont	R-15 Batt with R-3 Sheathing	R-13 Batt with R-3 Sheathing
Windows:	U-0.35	U-0.30	U-0.30
Attic:	R-38	R-38	R-38
HVAC:	N/A	N/A	80% or 7.7 HSPF, 13 SEER AC
WH:	N/A	N/A	0.62 EF
Slab:	R-10, total 2'	R-10, 1' down, 2' in	R-10, 1' down 2' in
Duct Lkg:	<8 cfm/100sf of cond space	<8 cfm/100sf of cond space	<6 cfm/100sf of cond space
Air Lkg:	<7 ACH50	<7 ACH50	<5.5 ACH50

### Example 3 (5,000 sf 2-story home on walk-out basement):

	<u>Prescriptive Requirements:</u>	<u>Total UA Requirements:</u>	<u>Performance Requirements:</u>
AG Walls:	R-20 cavity or R-13 w/ R-5 cont	R-15 Batt with OSB Sheathing	R-15 Batt with OSB Sheathing
BG Walls:	R-10 cont or R-13 framed	R-10 cont or R-13 framed	R-10 cont or R-13 framed
Windows:	U-0.35	U-0.30	U-0.30
Attic:	R-38	R-44	R-38
HVAC:	N/A	N/A	80%, 13 SEER AC
WH:	N/A	N/A	0.62 EF
Duct Lkg:	<8 cfm/100sf of cond space	<8 cfm/100sf of cond space	<4 cfm/100sf of cond space
Air Lkg:	<7 ACH50	<7 ACH50	<5.5 ACH50

**Note:** These are examples and different combinations can be used to achieve code for Total UA and Performance Methods.



Example of electrical panel sticker to be provided by builder or third party.

## 2012 INDIANA ENERGY CODE

Builder Name: \_\_\_\_\_  
Property Address: \_\_\_\_\_  
Conditioned Floor Area: \_\_\_\_\_ sf Date: \_\_\_\_\_  
Compliance Method Used: Prescriptive / Total UA / Performance (circle one)  
Builder or registered design professional: \_\_\_\_\_

Signature

Printed

### R-VALUES

Ceiling: Vaulted R-\_\_\_\_\_ Flat R-\_\_\_\_\_  
Slab on grade R-\_\_\_\_\_  
Floors Over Unconditional Space R-\_\_\_\_\_  
Walls: Above Grade Cavity R-\_\_\_\_\_ Sheathing R-\_\_\_\_\_  
Below Grade Interior R-\_\_\_\_\_ Below Grade Ext. R-\_\_\_\_\_  
If not full wall basement insulation, # of ft from top of wall: \_\_\_\_\_  
Are all HVAC ducts within the conditioned space? Y / N (waive duct test, if yes)  
R-value of ducts outside conditioned space R-\_\_\_\_\_  
Windows U-\_\_\_\_\_ SHGC: \_\_\_\_\_ Doors R-\_\_\_\_\_  
Skylights U-\_\_\_\_\_ SHGC: \_\_\_\_\_

### SYSTEMS

Heating System Type: \_\_\_\_\_ Efficiency: \_\_\_\_\_ (AFUE or HSPF)  
Cooling System Type: \_\_\_\_\_ Efficiency: \_\_\_\_\_ (SEER)  
Water Heater Type: \_\_\_\_\_ Efficiency: \_\_\_\_\_ (EF)

### AIR LEAKAGE / DUCT LEAKAGE

Independent Inspecting Firm: Thermo-Scan Inspections, 317-846-4655  
Air Leakage: \_\_\_\_\_ ACH50 (Maximum Allowable: 7 ACH50)  
Duct Leakage To Exterior: \_\_\_\_\_ cfm25 (Maximum Allowable: \_\_\_\_\_ cfm25)  
Air Leakage Test Pass? Y / N / NA Duct Leakage Test Pass Y / N / NA  
If Alternative Visual Option was performed, circle NA for Air Leakage Test and  
initial here that all Code Checklist items were met: \_\_\_\_\_  
Testing Firm Signature: \_\_\_\_\_

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TITLE 675 FIRE PREVENTION AND BUILDING SAFETY COMMISSION

Final Rule  
LSA Document #11-84(F)

DIGEST

Adds 675 IAC 14-4.3-139.1 to amend the energy conservation provisions. Repeals 675 IAC 14-4.3-139. Effective 90 days after filing with the Publisher.

675 IAC 14-4.3-139; 675 IAC 14-4.3-139.1

SECTION 1. 675 IAC 14-4.3-139.1 IS ADDED TO READ AS FOLLOWS:

Rule 4.3. 2005 Indiana Residential Code

675 IAC 14-4.3-139.1 Chapter 11; energy efficiency

Authority: IC 22-13-2

Affected: IC 22-13

Sec. 139.1. Delete the text of Chapter 11 in its entirety and substitute the following modified text from Chapter 11 of the 2009 International Residential Code, first printing, published by the International Code Council, Inc., 4051 West Flossmoor Road, Country Club Hills, IL 60478-5795:

SECTION N1101; GENERAL N1101.1 Scope.

This chapter regulates the energy efficiency for the design and construction of buildings regulated by this code.

Exception: Portions of the building envelope that do not enclose conditioned space are exempt from building thermal envelope provisions of this chapter.

N1101.2 Compliance. Compliance shall be demonstrated by meeting the requirements of this chapter. Climate zones from Figure N1101.2 or Table N1101.2 shall be used in determining the applicable requirements from this chapter.



**FIGURE N1101.2  
INDIANA CLIMATE ZONES**



**TABLE N1101.2  
CLIMATE ZONES AND MOISTURE REGIMES OF INDIANA COUNTIES**  
Key: A – Moist

5A Adams	5A Elkhart	4A Jefferson	4A Ohio	4A Sullivan
5A Allen	5A Fayette	4A Jennings	4A Orange	4A Switzerland
5A Bartholomew	4A Floyd	5A Johnson	5A Owen	5A Tippecanoe
5A Benton	5A Fountain	4A Knox	5A Parke	5A Tipton
5A Blackford	5A Franklin	4A Kosciusko	4A Perry	5A Union
5A Boone	5A Fulton	5A LaGrange	4A Pike	4A Vanderburgh
4A Brown	4A Gibson	5A Lake	5A Porter	5A Vermillion
5A Carroll	5A Grant	5A LaPorte	4A Posey	5A Vigo
5A Cass	4A Greene	4A Lawrence	5A Pulaski	5A Wabash
4A Clark	5A Hamilton	5A Madison	5A Putnam	5A Warren
5A Clay	5A Hancock	5A Marion	5A Randolph	4A Warrick
5A Clinton	4A Harrison	5A Marshall	4A Ripley	4A Washington
4A Crawford	5A Hendricks	4A Martin	5A Rush	5A Wayne
4A Daviess	5A Henry	5A Miami	4A Scott	5A Wells
4A Dearborn	5A Howard	4A Monroe	5A Shelby	5A White
5A Decatur	5A Huntington	5A Montgomery	4A Spencer	5A Whitley
5A Dekalb	4A Jackson	5A Morgan	5A Starke	
5A Delaware	5A Jasper	5A Newton	5A Steuben	

4A Dubois

5A Jay

5A Noble

5A St. Joseph

**N1101.3 Identification.** Materials, systems and equipment shall be identified in a manner that will allow a determination of compliance with the applicable provisions of this chapter.

**N1101.4 Building thermal envelope insulation.** Each piece of building thermal envelope insulation 12 inches (305 mm) or more wide shall bear the manufacturer's R-value identification mark. For building thermal insulation where no identification mark is used, the insulation installer shall provide a certification listing the type, manufacturer and R-value of insulation installed in each element of the building thermal envelope. In addition, the following information shall be provided by the installer on the certification:

1. For blown or sprayed insulation (fiberglass and cellulose), the initial installed thickness, settled thickness, settled R-value, installed density, coverage area and number of bags installed shall be listed on the certification.
2. For sprayed polyurethane foam (SPF) insulation, the installed thickness of the area covered and R-value of installed thickness shall be listed on the certificate.

The insulation installer shall sign, date and post the certificate in a conspicuous location on the job site.

**N1101.4.1 Blown or sprayed roof/ceiling insulation.** The thickness of blown in or sprayed roof/ceiling insulation (fiberglass or cellulose) shall be written in inches (mm) on markers that are installed at least one for every 300 ft<sup>2</sup> (28m<sup>2</sup>) throughout the attic space. The markers shall be affixed to the trusses or joists and marked with the minimum initial installed thickness with numbers a minimum of 1 inch (25 mm) high. Each marker shall face the attic access opening. Spray polyurethane foam thickness and installed R-value shall be listed on the certificate provided by the insulation installer.

**N1101.4.2 Insulation mark installation.** Insulating materials shall be installed such that the manufacturer's R-value mark is readily observable upon inspection.

**N1101.5 Fenestration product rating.** Fenestration products (windows, doors and skylights) shall bear a label and certification from the manufacturer that the product has been tested by an accredited independent laboratory and that the testing determined that the U-factors are in accordance with NFRC 100. Products lacking such a labeled U-factor shall be assigned a default U-factor from Tables N1101.5(1) and N1101.5(2).

TABLE N1101.5(1)  
DEFAULT GLAZED FENESTRATION U-FACTORS

FRAME TYPE	SINGLE PANE	DOUBLE PANE	SKYLIGHT	
			Single	Double
Metal	1.2	0.8	2	1.3
Metal with thermal break	1.1	0.65	1.9	1.1
Nonmetal or metal clad	0.95	0.55	1.75	1.05
Glazed block	0.6			

TABLE N1101.5(2)  
DEFAULT DOOR U-FACTORS

DOOR TYPE	U-FACTOR
Uninsulated metal	1.2
Insulated metal	0.6
Wood	0.5
Insulated, nonmetal edge, max 45% glazing, any glazing double pane	0.35

**N1101.6 Insulation product rating.** The thermal resistance (R-value) of insulation shall be determined in accordance with the 16 CFR 460 as in effect on March 1, 2011, in units of h • ft<sup>2</sup> • °F/Btu at a mean temperature of 75°F (24°C).

**N1101.7 Installation.** All materials, systems and equipment shall be installed in accordance with the manufacturer's installation instructions and the provisions of this chapter.

**N1101.7.1 Protection of exposed foundation insulation.** Insulation applied to the exterior of basement walls, crawl space walls and the perimeter of slab-on-grade floors shall have a rigid, opaque and weather-resistant protective covering to prevent the degradation of the insulation's thermal performance. The protective covering shall cover the exposed exterior insulation and extend a minimum of six (6) inches (152 mm) below grade.

**N1101.8 Certificate.** A permanent certificate shall be posted on or in the electrical distribution panel. The certificate shall not cover or obstruct the visibility of the circuit directory label, service disconnect

label or other required labels. The certificate shall be completed by the builder or registered design professional. The certificate shall list the predominant R-values of insulation installed in or on ceiling/roof, walls, foundation (slab, basement wall, crawl space wall and/or floor), ducts outside conditioned spaces, and U-factors for fenestration. Where there is more than one value for each component, the certificate shall list the value covering the largest area. The certificate shall list the types and efficiencies of heating, cooling and service water heating equipment. Where a gas-fired unvented room heater, electric furnace, and/or baseboard electric heater is installed in the residence, the certificate shall list "gas-fired unvented room heater", "electric furnace", or "baseboard electric heater" as appropriate. An efficiency shall not be listed for gas-fired unvented room heaters, electric furnaces, or electric baseboard heaters.

#### SECTION N1102; BUILDING THERMAL ENVELOPE

N1102.1 Insulation and fenestration criteria. The building thermal envelope shall meet the requirements of Table N1102.1 based on the climate zone specified in Table N1101.2.

N1102.1.1 R-value computation. Insulation material used in layers, such as framing cavity insulation and insulating sheathing, shall be summed to compute the component R-value. The manufacturer's settled R-value shall be used for blown insulation. Computed R-values shall not include an R-value for other building materials or air films.

N1102.1.2 U-factor alternative. An assembly with a U-factor equal to or less than that specified in Table N1102.1.2 shall be permitted as an alternative to the R-value in Table N1102.1.

N1102.1.3 Total UA alternative. If the total building thermal envelope UA (sum of U-factor times assembly area) is less than or equal to the total UA resulting from using the U-factors in Table N1102.1.2 (multiplied by the same assembly area as in the proposed building), the building shall be considered in compliance with Table N1102.1. The UA calculation shall be done using a method in accordance with the Indiana Energy Conservation Code, 675 IAC 19-4, and shall include the thermal bridging effects of framing materials. Calculation procedures used to comply with this section shall be by use of approved computer software tools capable of calculating the total building thermal envelope UA that differs between "standard reference design" and the proposed design. REScheck, from the US Department of Energy, is an example of an approved software tool.

TABLE N1102.1  
INSULATION AND FENESTRATION REQUIREMENTS BY COMPONENT <sup>a</sup>

CLIMATE ZONE	FENESTRATION U-FACTOR	SKYLIGHT U-FACTOR <sup>b</sup>	CEILING R-VALUE	WOOD FRAME R-VALUE [sic]	MASS WALL R-VALUE <sup>g</sup>	FLOOR R-VALUE	BASEMENT WALL R-VALUE <sup>c</sup>	SLAB R-VALUE AND DEPTH <sup>d</sup>	CRAWL SPACE R-VALUE
4	0.35	0.60	38	13	5/10	19	10/13	10; 2 ft	10/13
5	0.35	0.60	38	20 or 13 + 5 <sup>f</sup>	13/17	30 <sup>e</sup>	10/13	10; 2 ft	10/13

a. R-values are minimums. U-factors are maximums. R-19 batts compressed in to a nominal 2 × 6 framing cavity such that the R-value is reduced by R-1 or more shall be marked with the compressed batt R-value in addition to the full thickness R-value.

b. The fenestration U-factor column excludes skylights.

c. The first R-value applies to continuous insulation, the second to framing cavity insulation; either insulation meets the requirement.

d. R-5 shall be added to the required slab edge R-values for heated slabs.

e. Or insulation sufficient to fill the framing cavity, R-19 minimum.

f. "13+5" means R-13 cavity insulation plus R-5 insulated sheathing. If structural sheathing covers 25% or less of the exterior, R-5 sheathing is not required where structural sheathing is used. If structural sheathing covers more than 25% of exterior, structural sheathing shall be supplemented with insulated sheathing of at least R-2.

g. The second R-value applies when more than half the insulation is on the interior.

TABLE N1102.1.2  
EQUIVALENT U-FACTORS <sup>a</sup>

CLIMATE ZONE	FENESTRATION U-FACTOR	SKYLIGHT U-FACTOR	CEILING U-FACTOR	FRAME WALL U-FACTOR	MASS WALL U-FACTOR <sup>b</sup>	FLOOR U-FACTOR	BASEMENT WALL U-FACTOR	CRAWL SPACE WALL U-FACTOR
4	0.35	0.60	0.030	0.082	0.141	0.047	0.059	0.065
5	0.35	0.60	0.030	0.057	0.082	0.033	0.059	0.065

a. Nonfenestration U-factors shall be obtained from measurement, calculation or an approved source.



b. When more than half the insulation is on the interior, the mass wall U-factors shall be a maximum of 0.10 in zone 4 and the same as the frame wall U-factor (0.057) in zone 5.

#### N1102.2 Specific insulation requirements.

N1102.2.1 Ceilings with attic spaces. When Section N1102.1 would require R-38 in the ceiling, R-30 shall be deemed to satisfy the requirement for R-38 wherever the full height of uncompressed R-30 insulation extends over the wall top plate at the eaves. Similarly R-38 shall be deemed to satisfy the requirement for R-49 wherever the full height of uncompressed R-38 insulation extends over the wall top plate at the eaves. This reduction shall not apply to the U-factor alternative approach in Section N1102.1.2 and the Total UA alternative in Section N1102.1.3.

N1102.2.2 Ceilings without attic spaces. Where Section N1102.1 would require insulation levels above R-30 and the design of the roof/ceiling assembly does not allow sufficient space for the required insulation, the minimum required insulation for such roof/ceiling assemblies shall be R-30. This reduction of insulation from the requirements of N1102.1 shall be limited to five hundred (500) square feet (46 m<sup>2</sup>) or twenty (20) percent of the total insulated ceiling area, whichever is less. This reduction shall not apply to the U-factor alternative approach in Section N1102.1.2 and the Total UA alternative in Section N1102.1.3.

N1102.2.3 Access hatches and doors. Access hatches from conditioned spaces to unconditioned spaces (e.g., attics and crawl spaces) shall be weatherstripped and insulated to a level equivalent to the insulation on the surrounding surfaces. Access shall be provided to all equipment which prevents damaging or compressing the insulation. A wood framed or equivalent baffle or retainer is required to be provided when loose fill insulation is installed, the purpose of which is to prevent the loose fill insulation from spilling into the living space when the attic access is opened and to provide a permanent means of maintaining the installed R-value of the loose fill insulation.

N1102.2.4 Mass walls. Mass walls, for the purposes of this chapter, shall be considered above-grade walls of concrete block, concrete, insulated concrete form (ICF), masonry cavity, brick (other than brick veneer), earth (adobe, compressed earth block, rammed earth) and solid timber/logs.

N1102.2.5 Steel-frame ceilings, walls and floors. Steel frame ceilings, walls and floors shall meet the insulation requirements of Table N1102.2.5 or shall meet the U-factor requirements in Table N1102.1.2. The calculation of the U-factor for a steel-frame envelope assembly shall use a series-parallel path calculation method.

TABLE N1102.2.5  
STEEL-FRAME CEILING, WALL AND FLOOR INSULATION ( R-VALUE)

WOOD FRAME R-VALUE REQUIREMENT	COLD-FORMED STEEL EQUIVALENT R-VALUE <sup>a</sup>
Steel Truss Ceilings <sup>a</sup>	
R-30	R-38 or R-30 + 3 or R-26 + 5
R-38	R-49 or R-38 + 3
R-49	R-38 + 5
Steel Joist Ceilings <sup>b</sup>	
R-30	R-38 in 2 × 4 or 2 × 6 or 2 × 8; R-49 in any framing
R-38	R-49 in 2 × 4 or 2 × 6 or 2 × 8 or 2 × 10
Steel Framed Wall	
R-13	R-13 + 5 or R-15 + 4 or R-21 + 3 or R-0 + 10
R-19	R-13 + 9 or R-19 + 8 or R-25 + 7
R-21	R-13 + 10 or R-19 + 9 or R-25 + 8
Steel Joist Floor	
R-13	R-19 in 2 × 6 or R-19 + R-6 in 2 × 8 or 2 × 10
R-19	R-19 + R-6 in 2 × 6 or R-19 + R-12 in 2 × 8 or 2 × 10

For SI: 1 inch = 25.4 mm.

a. Cavity insulation R-value is listed first, followed by continuous insulation R-value.

b. Insulation exceeding the height of the framing shall cover the framing.

N1102.2.6 Floors. Floor insulation shall be installed to maintain permanent contact with the underside of the subfloor decking.

N1102.2.7 Basement walls. Exterior walls associated with conditioned basements shall be insulated from the top of the basement wall down to ten (10) feet (3048 mm) below grade or to the basement

floor, whichever is less. Walls associated with unconditioned basements shall meet this requirement unless the floor overhead is insulated in accordance with Sections N1102.1 and N1102.2.5.

**N1102.2.8 Slab-on-grade floors.** Slab-on-grade floors with a floor surface less than twelve (12) inches below grade shall be insulated in accordance with Table N1102.1. The insulation shall extend downward from the top of the slab on the outside or inside of the foundation wall. Insulation located below grade shall be extended the distance provided in Table N1102.1 by any combination of vertical insulation, insulation extending under the slab or insulation extending out from the building. Insulation extending away from the building shall be protected by pavement or by a minimum of ten (10) inches (254 mm) of soil. The top edge of the insulation installed between the exterior wall and the edge of the interior slab shall be permitted to be cut at a 45-degree (0.79 rad) angle away from the exterior wall.

**N1102.2.9 Crawl space walls.** As an alternative to insulating floors over crawl spaces, crawl space walls shall be permitted to be insulated when the crawl space is not vented to the outside. Crawl space wall insulation shall be permanently fastened to the wall and extend downward from the floor to the finished grade level and then vertically and/or horizontally for at least an additional twenty-four (24) inches (610 mm). Exposed earth in unvented crawl space foundations shall be covered with a continuous 0.1 perms or less vapor retarder. All joints of the vapor retarder shall overlap by six (6) inches (153 mm) and be sealed or taped. The edges of the vapor retarder shall extend at least six (6) inches (153 mm) up the stem wall and shall be attached to the stem wall.

**N1102.2.10 Masonry veneer.** Insulation shall not be required on the horizontal portion of the foundation that supports a masonry veneer.

**N1102.2.11 Thermally isolated sunroom insulation.** The minimum ceiling insulation R-values shall be R-19 in zone 4 and R-24 in zone 5. The minimum wall R-value shall be R-13 in all zones. New wall(s) separating the sunroom from conditioned space shall meet the building thermal envelope requirements.

#### **N1102.3 Fenestration.**

**N1102.3.1 U-factor.** An area-weighted average of fenestration products shall be permitted to satisfy the U-factor requirements.

**N1102.3.2 Glazed fenestration exemption.** Up to fifteen (15) square feet ( $1.4\text{m}^2$ ) of glazed fenestration per dwelling unit shall be permitted to be exempt from U-factor in Section N1102.1. This exemption shall not apply to the U-factor alternative approach in Section N1102.1.2 and the Total UA alternative in Section N1102.1.3.

**N1102.3.3 Opaque door exemption.** One side-hinged opaque door assembly up to twenty-four (24) square feet ( $2.22\text{m}^2$ ) in area is exempted from the U-factor requirement in Section N1102.1. This exemption shall not apply to the U-factor alternative approach in Section N1102.1.2 and the Total UA alternative in Section N1102.1.3.

**N1102.3.4 Thermally isolated sunroom U-factor.** For zones 4 and 5, the maximum fenestration U-factor shall be 0.50 and the maximum skylight U-factor shall be 0.75. New windows and doors separating the sunroom from conditioned space shall meet the building thermal envelope requirements.

**N1102.3.5 Replacement fenestration.** Where some or all of an existing fenestration unit is replaced with a new fenestration product, including sash and glazing, the replacement fenestration unit shall meet the applicable requirements for U-factor in Table N1102.1.


#### **N1102.4 Air leakage.**

**N1102.4.1 Building thermal envelope.** The building thermal envelope shall be durably sealed to limit infiltration. The sealing methods between dissimilar materials shall allow for differential expansion and contraction. The following shall be caulked, gasketed, weatherstripped or otherwise sealed with an air barrier material, suitable film or solid material.

1. All joints, seams and penetrations.
2. Site-built windows, doors and skylights.
3. Openings between window and door assemblies and their respective jambs and framing.
4. Utility penetrations.
5. Dropped ceilings or chases adjacent to the thermal envelope.
6. Knee walls.
7. Walls and ceilings separating the garage from conditioned spaces.
8. Behind tubs and showers on exterior walls.
9. Common walls between dwelling units.
10. Attic access openings.
11. Rim joists junction.
12. Other sources of infiltration.

**N1102.4.2 Air sealing and insulation.** Building envelope, air tightness and insulation installation shall be demonstrated to comply with one of the following options given by Section N1102.4.2.1 or

TABLE N1102.4.2  
AIR BARRIER AND INSULATION INSPECTION

COMPONENT	CRITERIA
Air barrier and thermal barrier	Exterior thermal envelope insulation for framed walls is installed in substantial contact and continuous alignment with building envelope air barrier. Breaks or joints in the air barrier are filled or repaired. Air-permeable insulation is not used as a sealing material. Air-permeable insulation is inside of an air barrier.
Ceiling/attic	Air barrier in any dropped ceiling/soffit is substantially aligned with insulation and any gaps are sealed. Attic access (except unvented attic), knee wall door, or drop down stair is sealed.
Walls	 Corners and headers are insulated. Junction of foundation and sill plate is sealed.
Windows and doors	Space between window/door jambs and framing is sealed.
Rim joists	Rim joists are insulated and include an air barrier.
Floors (including above garage and cantilevered floors)	Insulation is installed to maintain permanent contact with underside of subfloor decking. Air barrier is installed at any exposed edge of floor.
Crawl space walls	Insulation is permanently attached to walls. Exposed earth in unvented crawl spaces is covered with Class I vapor retarder with overlapping joints taped.
Shafts, penetrations	Duct shafts, utility penetrations, knee walls and flue shafts opening to exterior or unconditioned space are sealed.
Narrow cavities	Batts in narrow cavities are cut to fit, or narrow cavities are filled by sprayed/blown insulation.
Garage separation	Air sealing is provided between the garage and conditioned spaces.
Recessed lighting	Recessed luminaires are airtight, IC rated and sealed to drywall. Exception – luminaires in conditioned space.
Plumbing and wiring	Insulation is placed between outside and pipes. Batt insulation is cut to fit around wiring and plumbing, or sprayed/blown insulation extends behind piping and wiring.
Shower/tub on exterior wall	Showers and tubs on exterior walls have insulation and an air barrier separating them from the exterior wall.
Electrical/phone box on exterior wall	Air barrier extends behind boxes or air sealed type boxes are installed.
Common wall	Air barrier is installed in common wall between dwelling units.
HVAC register boots	HVAC register boots that penetrate building envelope are sealed to subfloor or drywall.
Fireplace	Fireplace walls include an air barrier.

N1102.4.2.1 Testing option. Tested air leakage is less than 7 air changes per hour (ACH) when tested with a blower door at a pressure of 0.007 psi (50 pascals). Testing shall occur after rough in and after installation of penetrations of the building envelope, including penetrations for utilities, plumbing, electrical, ventilation and combustion appliances. During testing:

1. Exterior windows and doors, fireplace and stove doors shall be closed, but not sealed;
2. Dampers shall be closed, but not sealed, including exhaust, intake, makeup air, back draft, and flue dampers;
3. Interior doors shall be open;
4. Exterior openings for continuous ventilation systems and heat recovery ventilators shall be closed and sealed;
5. Heating and cooling system(s) shall be turned off;
6. HVAC ducts shall not be sealed; and
7. Supply and return registers shall not be sealed.

N1102.4.2.2 Visual inspection option. The items listed in Table N1102.4.2, applicable to the method of construction, are field verified. Where required by local ordinance, an approved party independent from the installer of the insulation, shall inspect the air barrier and insulation.

N1102.4.3 Fireplaces. New wood-burning fireplaces shall have gasketed doors and outdoor combustion air.

N1102.4.4 Fenestration air leakage. Windows, skylights and sliding glass doors shall have an air infiltration rate of no more than 0.3 cubic foot per minute per square foot [1.5(L/s)/m<sup>2</sup>], and swinging



doors no more than 0.5 cubic foot per minute per square foot  $[2.5(\text{L/s})/\text{m}^2]$ , when tested according to NFRC 400 or AAMA/WDMA/CSA101/I.S.2/A440 by an accredited, independent laboratory, and listed and labeled by the manufacturer.

Exception: Site-built windows, skylights and doors.

N1102.4.5 Recessed lighting. Recessed luminaires installed in the building thermal envelope shall be sealed to limit air leakage between conditioned and unconditioned spaces. All recessed luminaires shall be IC-rated and labeled as meeting ASTM E 283 when tested at 1.57 psi (75 Pa) pressure differential with no more than 2.0 cfm (0.944 L/s) of air movement from the conditioned space to the ceiling cavity. All recessed luminaires shall be sealed with a gasket or caulk between the housing and the interior of the wall or ceiling covering.

N1102.5 Maximum fenestration U-factor. The area-weighted average maximum fenestration U-factor permitted using trade-offs from Section N1102.1.3 shall be 0.48 in zones 4 and 5 for vertical fenestration and 0.75 in zones 4 and 5 for skylights.

#### SECTION N1103; SYSTEMS

N1103.1 Controls. At least one thermostat shall be installed for each separate heating and cooling system.

N1103.1.1 Programmable thermostat. Where the primary heating system is a forced air furnace, at least one thermostat per dwelling unit shall be capable of controlling the heating and cooling system on a daily schedule to maintain different temperature set points at different times of the day. This thermostat shall include the capability to set back or temporarily operate the system to maintain zone temperatures down to 55°F (13°C) or up to 85°F (29°C). The thermostat shall initially be programmed with a heating temperature set point no higher than 70°F (21°C) and a cooling temperature set point no lower than 78°F (26°C).

N1103.1.2 Heat pump supplementary heat. Heat pumps having supplementary electric-resistance heat shall have controls that, except during defrost, prevent supplemental heat operation when the heat pump compressor can meet the heating load.

N1103.2 Ducts.

N1103.2.1 Insulation. Supply ducts in attics shall be insulated to a minimum of R-8. All other ducts shall be insulated to a minimum of R-6.

Exception: Ducts or portions thereof located completely inside the building thermal envelope.

N1103.2.2 Sealing. Ducts, air handlers, filter boxes and building cavities used as ducts shall be sealed. Joints and seams shall comply with Section M1601.3.1 of this code. Duct tightness shall be verified by either of the following:

1. Post-construction test: Leakage to outdoors shall be less than or equal to eight (8) cfm (3.78 L/s) per one hundred (100)  $\text{ft}^2$  (9.29  $\text{m}^2$ ) of conditioned floor area or a total leakage less than or equal to twelve (12) cfm (5.66 L/s) per one hundred (100)  $\text{ft}^2$  (9.29  $\text{m}^2$ ) of conditioned floor area when tested at a pressure differential of 0.1 inch w.g. (25 Pa) across the entire system, including the manufacturer's air handler end closure. All register boots shall be taped or otherwise sealed during the test.
2. Rough-in test: Total leakage shall be less than or equal to six (6) cfm (2.83 L/s) per one hundred (100)  $\text{ft}^2$  (9.29  $\text{m}^2$ ) of conditioned floor area when tested at a pressure differential of 0.1 inch w.g. (25 Pa) across the roughed in system, including the manufacturer's air handler enclosure. All register boots shall be taped or otherwise sealed during the test. If the air handler is not installed at the time of the test, total leakage shall be less than or equal to four (4) cfm (1.89 L/s) per one hundred (100)  $\text{ft}^2$  (9.29  $\text{m}^2$ ) of conditioned floor area.

Exception: Duct tightness test is not required if the air handler and all ducts are located within conditioned space.

N1103.2.3 Building cavities. Building framing cavities shall not be used as supply ducts.

N1103.3 Mechanical system piping insulation. Mechanical system piping capable of carrying fluids above 105°F (40°C) or below 55°F (13°C) shall be insulated to a minimum of R-3.

N1103.4 Circulating hot water systems. All circulating service hot water piping shall be insulated to at least R-2. Circulating hot water systems shall include an automatic or readily accessible manual switch that can turn off the hot water circulating pump when the system is not in use.

N1103.5 Mechanical ventilation. Outdoor air intakes and exhausts shall have automatic or gravity dampers that close when the ventilation system is not operating.

N1103.6 Equipment sizing. Heating and cooling equipment shall be sized as specified in Section M1401.3 of this code.

N1103.7 Snow-melt system controls. Snow- and ice-melting systems, supplied through energy service to the building, shall include automatic controls capable of shutting off the system when the pavement temperature is above 50°F (10°C) and no precipitation is falling and an automatic or manual control that will allow shutoff when the outdoor temperature is above 40°F (4°C).

N1103.8 Pools. Pools shall be provided with energy conserving measures in accordance with Sections

N1103.8.1 through N1103.8.3.

N1103.8.1 Pool heaters. All pool heaters shall be equipped with a readily accessible on-off switch to allow shutting off the heater without adjusting the thermostat setting. Pool heaters fired by natural gas or LPG shall not have continuously burning pilot lights.

N1103.8.2 Time switches. Time switches that can automatically turn off and on heaters and pumps according to a pre-set schedule shall be installed on swimming pool heaters and pumps.

Exceptions:

1. Where public health standards require 24-hour pump operation.

2. Where pumps are required to operate solar-and-waste-heat-recovery pool heating systems.

N1103.8.3 Pool covers. Heated pools shall be equipped with a vapor retardant pool cover on or at the water surface. Pools heated to more than 90°F (32°C) shall have a pool cover with a minimum insulation value of R-12.

Exception: Pools deriving over sixty (60) percent of the energy for heating from site-recovered energy or solar energy source.

#### SECTION N1104; LIGHTING SYSTEMS

N1104.1 Lighting equipment. (a) A minimum of fifty (50) percent of the lamps in permanently installed lighting fixtures shall be high-efficacy lamps.

(b) For purposes of this section, high-efficacy lamps mean compact fluorescent lamps, T-8 or smaller diameter linear fluorescent lamps or lamps with a minimum efficacy of:

i. 60 lumens per watt for lamps over 40 watts.

ii. 50 lumens per watt for lamps over 15 watts to 40 watts.

iii. 40 lumens per watt for lamps 15 watts or less.

#### SECTION N1105; REFERENCED STANDARDS

N1105.1 For purposes of this chapter, the following referenced standards are applicable:

(a) AAMA/WDMA/CSA 101/I.S.2/A440-08; American Architectural Manufacturers Association, 1827 Walden Office Square, 550, Schaumburg, IL 60173.

(b) ASTM E 283-04; ASTM International, 100 Barr Harbor Drive, West Conshohocken, PA 19428.

(c) NFRC 100-2004; National Fenestration Rating Council, Inc., 8484 Georgia Avenue, Suite 320, Silver Spring, MD 20910. The edition of the documents listed in Chapter 6 for which no edition date is shown shall be the edition which was in effect on January 1, 2004.

(d) NFRC 400-2004; National Fenestration Rating Council, Inc., 8484 Georgia Avenue, Suite 320, Silver Spring, MD 20910.

#### N1106 PERFORMANCE BASED CALCULATIONS

(1) Chapter 4 of that certain document being titled International Energy Conservation Code, 2009 Edition, first printing, as published by the International Code Council, 4051 Flossmoor Road, Country Club Hills, Illinois 60478, is hereby adopted by reference as if fully set out in this rule save and except those revisions made in this rule.

(2) The purpose of adopting Section N1106 is to provide a performance based alternative in lieu of compliance with the prescriptive requirements of Chapter 11 of the 2005 Indiana Residential Code.

(3) Amend Chapter 4 as follows:

(i) Amend Section 401.1, delete "residential buildings" and insert "Class 2 structures".

(ii) In Section 401.2, delete text and insert as follows: Projects may comply with Section 405 Simulated Performance Alternative (performance).

(iii) Delete Section 401.3 in its entirety without substitution.

(iv) Add new Section 401.4 Definitions. The following definitions apply only to this section:

**BUILDING THERMAL ENVELOPE.** The basement walls, exterior walls, floor, roof, and any other building element that enclose conditioned space. This boundary also includes the boundary between conditioned space and any exempt or unconditioned space.

**CODE OFFICIAL.** See BUILDING OFFICIAL.

(v) Amend Table 402.1.1 as follows:

(A) In footnote f, delete "in warm-humid locations as defined by Figure 301.1 and Table 301.1".

(B) Delete footnote j without substitution.

(vi) Amend Section 402.1.1 to delete "Chapter 3" and insert "Table N1101.2 of the 2005 Indiana Residential Code".

(vii) Amend Table 402.1.3 footnote c to delete "in warm-humid locations as defined by Figure 301.1 and Table 301.1".

(viii) Delete Section 402.1.4 in its entirety without substitution.

(ix) Delete Section 402.2 in its entirety without substitution.

(x) Delete Section 402.3 in its entirety without substitution.

- (xi) Delete Section 402.4 in its entirety without substitution.
- (xii) Delete Table 402.4.2 in its entirety without substitution.
- (xiii) Delete Section 402.5 in its entirety without substitution.
- (xiv) Delete Section 403.1 in its entirety without substitution.
- (xv) Delete Section 403.2.3 in its entirety without substitution.
- (xvi) Delete Section 403.3 in its entirety without substitution.
- (xvii) Delete Section 403.4 in its entirety without substitution.
- (xviii) Delete Section 403.5 in its entirety without substitution.
- (xix) Delete Section 403.6 in its entirety without substitution.
- (xx) Delete Section 403.7 in its entirety without substitution.
- (xxi) Delete Section 403.8 in its entirety without substitution.
- (xxii) Delete Section 403.9 in its entirety without substitution.
- (xxiii) Delete Section 404 in its entirety without substitution.
- (xxiv) Amend Section 405.3 to delete the last sentence before the exception.
- (xxv) Amend Section 405.4.3 to delete the first sentence and insert "The following documents shall be furnished to the code official upon request:".
- (xxvi) Amend Table 405.5.2(1) as follows:
  - (A) In footnote b, delete ", R-2 and R-4 residences".
  - (B) In footnote d, delete "in accordance with Section 5.1 of AHSRAE 119" and insert "as the equivalent amount of open area (assuming unit discharge coefficient) that would let pass the same quantity of air as would pass collectively through the building envelope at a reference pressure of 4 Pa (ft<sup>2</sup>) (m<sup>2</sup>)."
  - (C) In footnote e, in the first sentence, after "code official" insert ", where required by local ordinance".
  - (D) In footnote f, insert ", or other approved method," after "page 26.24" and after "page 26.19".
  - (E) Delete footnotes i, j, and k without substitution.
- (xxvii) Amend Section 405.6.1 to delete "International" in item 2 and insert "Indiana".
- (xxviii) Amend Section 405.6.2 to delete the last two sentences.

*(Fire Prevention and Building Safety Commission; 675 IAC 14-4.3-139.1; filed Jan 6, 2012, 10:25 a.m.: 20120201-IR-675110084FRA, eff 90 days after filing with the Publisher)*

SECTION 2. 675 IAC 14-4.3-139 IS REPEALED.

SECTION 3. SECTIONS 1 and 2 of this document take effect 90 days after filing with the Publisher.

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Documents Incorporated by Reference: National Fenestration Rating Council Incorporated, NFRC 100-2004, Procedure for Determining Fenestration Product U-Factors; National Fenestration Rating Council Incorporated, NFRC 400-2004, Procedure for Determining Fenestration Product Air Leakage; North American Fenestration Standard/Specification for windows, doors and skylights, AAMA/WDMA/CSA, 101/I.S.2/A440-08; ASTM International, ASTM E283-04, Standard Test Method for Determining Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen; Code of Federal Regulations, 16 CFR 460 as in effect on January 1, 2004; International Code Council, 2009 International Energy Conservation Code, Chapter 4

Small Business Regulatory Coordinator: Mara Snyder, Director, Legal and Code Services, Indiana Department of Homeland Security, Indiana Government Center South, 402 West Washington Street, Room W246, Indianapolis, IN 46204, (317) 233-5341, [msnyder@dhs.in.gov](mailto:msnyder@dhs.in.gov)

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